

1AP7 Rec'd PCT/PTO 24 JUL 2006

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 7-2005)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

003D.0067.U1(US)

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/539,927

INTERNATIONAL APPLICATION NO.

PCT/EP2003/050993

INTERNATIONAL FILING DATE

12 December 2003

PRIORITY DATE CLAIMED

20 December 2002

TITLE OF INVENTION

Cable Connector and Method of Assembling a Cable to Such a Cable Connector

APPLICANT(S) FOR DO/EO/US

Niranjan Kumar MITRA, Gert DROESBEKE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 10/539,927	INTERNATIONAL APPLICATION NO. PCT/EP2003/050993	ATTORNEY'S DOCKET NUMBER 003D.0067.U1(US)
23. Other items or information: Petition for Extension of Time, Copy of Notification of Missing Requirements		
The following fees have been submitted: 24. <input type="checkbox"/> Basic national fee \$300		CALCULATIONS PTO USE
25. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0 All other situations. \$200		\$ \$0.00
26. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400 All other situations. \$500		\$ \$0.00
TOTAL OF 24, 25 and 26 =		\$ \$0.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)
- 100 =	0 /50 =	0
		x \$250.00
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$ \$130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	- 20 =	0
Independent claims	- 3 =	0
		x \$200.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00		\$ \$0.00
TOTAL OF ABOVE CALCULATIONS =		\$ \$130.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		\$ \$0.00
SUBTOTAL =		\$ \$130.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$ \$0.00
TOTAL NATIONAL FEE =		\$ 130
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$ \$0.00
TOTAL FEES ENCLOSED =		\$ \$130.00
		Amount to be \$
		Amount to be \$

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ \$130.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1924. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 29683
Harrington & Smith, LLP
4 Research Drive
Shelton, CT 06484-6212
(203) 925-9400

Mark F. Harrington
SIGNATURE

Mark F. Harrington

NAME

31,686

REGISTRATION NUMBER

6/26/06

DATE

The PTO did not receive the following
listed item(s) No check

07/28/2006 MKAYPAGH 00000120 501924 10539927

01 FC:1617 130.00 DA

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:

Applicant: Mitra et al.

U.S. Serial No.: 10/539,927

Filed: June 16, 2005

Title: Cable Connector and Method of Assembling a Cable to Such a Cable Connector

Attorney Docket No.: 003D.0067.U1(US)

Certificate of Mailing

I hereby certify that the following correspondence:

Transmittal Letter

Petition for Extension of Time

Copy of Notification of Missing Requirements

Declaration/Power of Attorney

Check for \$ 580.00

Self addressed stamped post card

Is being deposited with the United States Postal Service with first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

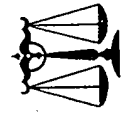
Date: 6-26-06

Ann Okrentowich
Ann Okrentowich

003D.006741(US) MFH AD 6-26-06
ATTORNEY DOCKET NO. ATTY. SECY. DATE MAILED
SERIAL NO. 10/539,927 CHECK FOR \$ 580.00

- | | |
|--|---|
| <input type="checkbox"/> Amendment (_____ page(s)) | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> Preliminary Amendment (_____ page(s)) | <input type="checkbox"/> IDS, PTO-1449, references |
| <input type="checkbox"/> Amendment Transmittal | <input type="checkbox"/> Issue Fee |
| <input type="checkbox"/> Drawings | <input type="checkbox"/> Appeal Brief (in triplicate) (_____ page(s)) |
| <input type="checkbox"/> Formal (_____ sheet(s)) | <input type="checkbox"/> Assignment |
| <input type="checkbox"/> Informal (_____ sheet(s)) | <input type="checkbox"/> Assignment Cover Sheet |
| <input type="checkbox"/> Red-line (_____ sheet(s)) | <input checked="" type="checkbox"/> Declaration & Power of Attorney |
| <input type="checkbox"/> Sub. of Proposed Drawing Amendment | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Transmittal of Formal Drawings | <input checked="" type="checkbox"/> Petition & Fee for Extension of Time |
| <input type="checkbox"/> Certificate of Correction | <input checked="" type="checkbox"/> Let. Calling Attention To Error in Patent |
| <input type="checkbox"/> Request for Certificate of Correction | <input checked="" type="checkbox"/> Completion of Filing Requirements |
| <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> OTHER: _____ |
| <input type="checkbox"/> Certified Copy | |
| <input type="checkbox"/> Transmittal of Certified Copy | |
| <input type="checkbox"/> Change of Attorney's Address in Application | |

Receipt is hereby acknowledged of the papers/fees as identified:
Commissioner of Patents & Trademarks



HARRINGTON & SMITH, LLP
4 RESEARCH DRIVE
SHELTON, CT 06484-6212

BANK OF AMERICA
FAIRFIELD, CONNECTICUT
51-57-119

6/26/2006

PAY TO THE ORDER OF Commissioner of Patents and Trademarks

\$ **580.00

Five Hundred Eighty and 00/100***** DOLLARS

Commissioner of Patents and Trademarks
PO Box 1450
Alexandria, VA 22313-1450

MEMO

003D.0067.U1(US) missing parts S/N 10/539,927

Clarence F. Moore MP

008806 1011900571: 94190 522651

8806



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/539,927	FIRST NAMED APPLICANT Miranjar Mitra	ATTY. DOCKET NO. 003D.0067.U1(US)		
INTERNATIONAL APPLICATION NO. PCT/EP03/50993				
29683 HARRINGTON & SMITH, LLP 4 RESEARCH DRIVE SHELTON, CT 06484-6212	US ACTION DUE DATE <u>3/9/06</u> PAPER DATED <u>3/9/06</u> OA <u>FINAL</u> <u>9/9/06</u> MSG PT <u>DWG</u> APPEAL <u>ISSUE FEE</u> OTHER _____	CONFIRMATION NO. 8408 371 FORMALITIES LETTER *C000000018212806*		
<table border="1"> <tr> <td>LA. FILING DATE 12/12/2003</td> <td>PRIORITY DATE 12/20/2002</td> </tr> </table>			LA. FILING DATE 12/12/2003	PRIORITY DATE 12/20/2002
LA. FILING DATE 12/12/2003	PRIORITY DATE 12/20/2002			

Date Mailed: 03/09/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/16/2005
- Copy of the International Search Report filed on 06/16/2005
- Copy of IPE Report filed on 06/16/2005
- Preliminary Amendments filed on 06/16/2005
- Information Disclosure Statements filed on 06/16/2005
- U.S. Basic National Fees filed on 06/16/2005
- Priority Documents filed on 06/16/2005

The applicant needs to satisfy supplemental fees problems indicated below.

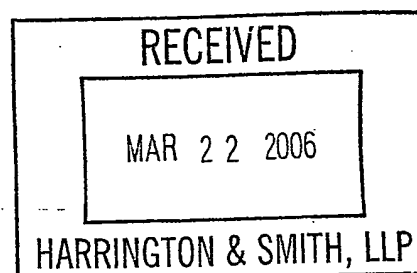
The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/539,927	PCT/EP03/50993	003D.0067.U1(US)

FORM PCT/DO/EO/905 (371 Formalities Notice)